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IP Indian Journal of Neurosciences

Journal homepage: <https://www.ijonline.org/>

Review Article

Edge detections of MER Signals of STN with DBS micro electrode local field potential acquisitions in Parkinson's

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ARTICLE INFO

Article history:

Received 23-11-2021

Accepted 10-12-2021

Available online 05-01-2022

Keywords:

Deep brain stimulation
microelectrode recording
macro recording
macrostimulation
Parkinson's disease
Subthalamic Nucleus

ABSTRACT

Deep brain stimulation of the subthalamic nucleus (STN) is a highly effective treatment for motor symptoms of Parkinson's disease. Sub thalamic nucleus deep brain stimulation (STN-DBS) is a therapeutic surgical procedure for reducing the symptoms Parkinson's and restoring and increasing the motor functioning. However, precise intraoperative edge or perimeter detection of STN remains a procedural challenge. In this study, we present the micro electrode signals recordings (MER) of STNs and local field potentials (LFPs) were acquired from deep brain stimulation macro electrodes during trajectory towards STN, in Parkinson patients. The frequency versus intensity atlas of field potential activity was obtained and further than investigated in distinct sub band's, to explore whether field potentials activity can be employed for STN edge detection. STN perimeter detections by means of LFPs were evaluated to edge predictions by way of the functional stereotactic DBS neurosurgeon, based on micro electrode derived, single unit recordings (MER – SNA of STNs). The findings show variation amongst MER – SNA and macro electrode LFP-signals gathering through MER-system pertaining to the dorsal STN border of $-1.00 \pm 0.85\text{mm}$ plus $-0.42 \pm 1.08\text{mm}$ in the β – band and γ – band frequencies, correspondingly. For these sub band's, root mean square of the voids was found to be 1.27milli meters and 1.07milli meters. The Assessment of other sub band's didn't set a limit for differentiating the posterior (caudal) point of sub-thalamic nuclei. We may infer that In conclusion, macro electrode signal acquisitions of STNs derived LFP gatherings might offer an unconventional methodology in the direction of mer – sna, for detecting the aimed target subthalamic nucleus borders during DBS-surgery.

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1. Introduction

Subthalamic nucleus (STN) deep brain stimulation (DBS) is an effective therapeutic treatment for the motor symptoms of Parkinson disease or Parkinson's disease (PD).¹ The DBS entails the and necessitates the embedding surgically of a quadri - polar microelectrode into the motoric territory of STN, followed by constant induced stimulations through the linking of the electrode to a surgically implanted pulse

generator (IPGs). An important factor contributing to the efficiency of DBS is the precise point of the sub thalamic nucleus in the human brain, in particular PD brain. The tiniest volume of the STN motor territory, its depth from the cortical surface, and its proximity to other critical neural structures, make precise targeting crucial as well as challenging.² Together with stereotactic imaging, intra operative micro electrode recording (MER) system is the most commonly used physiological technique to determine the STN site for constant implantation of DBS macro electrodes.³ In micro electrode recording, single neuron

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activity (SNA), i.e., the electrical activity resulting from individual neurons, is recorded through micro electrodes characterized by a modest or a small-scale diameter and a high impedance, electrical resistance. The resulting signal patterns are interpreted to confine the anatomical borders of STN.⁴ The initial trajectory and stereotactic coordinates of the target are determined based on preoperative either T1 weighted magnetic or functional magnetic imaging (MRI or f-MRI) and/or computed axial tomography (CAT) images. Then the micro electrodes are placed through cannulas into the brain and SNAs are acquired.⁵ The number of MER trajectories being used in localization can vary based on technical factors and institutional preference from one to five or more.¹ Following the MER target localization, microelectrodes are withdrawn and replaced by the quadripolar DBS macroelectrode. Although MER provides useful information for guiding surgery, the procedure carries a risk of intracranial hemorrhage due to usage of multiple electrodes and sharp tip of these microelectrodes.⁶ Moreover, the interpretation of signal characteristics by neurophysiologists or neurosurgeons makes the procedure more open to human error with the increased surgical time, especially in the multi-target cases requiring MER interpretation that is more complex.^{4–9}

Unlike MER-SNA, macro electrode recordings are based on local field potentials which represent the aggregate activity of neuronal populations in the region of the electrode contact.^{7–10} In PD, LFP recordings from STN are an important indicator of neural rhythms.⁸ Studies have demonstrated an excessive synchrony in beta band (13Hz–30Hz) activity in STN.^{9–15}

The aim of the present study was to explore the informational content of local field potential's LFPs recorded from macro-DBS electrodes, in order to identify the anatomical borders of STN. Since the LFPs can easily be recorded from macro contacts, their use in the operating room can reduce surgery time and serve as a useful tool for target confirmation or validation.

2. Aims and Objectives

To investigate whether field potentials activity can be employed for subthalamic nucleus (STN) edge or perimeter detection. The subthalamic nuclei STN perimeter detections by means of local field potentials LFPs were evaluated to border or edge predictions by way of the functional stereotactic DBS neuro surgeon, based on micro electrode derived, single unit recordings (MER – SNA of subthalamic nucleus STNs).

3. Materials and Methods

3.1. The subjects and functional DBS-surgery

Twenty six patients provided informed consent and with approval of the institute ethical committee were participated

in this study. All study subjects had a diagnosis of advanced idiopathic PD and exhibited typical Parkinsonian motor symptoms despite optimal medical therapy. All patients discontinued Parkinson's medications 12 hours prior to surgery. Per standard clinical routine in our institution, three simultaneous MER – SNA signals recording tracks were executed in every subject using local anesthetic alone. The initial target and trajectory were identified by stereotactic MRI fused together to a stereotactic computed axial tomography (the CAT) on a neuro navigational platform of Medtronic. Microelectrode implantation and asynchronous (parallelly and/or concurrently) SNA signal acquisitional recordings were obtained by employing a Neurodrive and Micro guide system accordingly. Following MER – SNA, all the patients underwent bilateral implantation of the deep brain stimulation DBS microelectrodes into the subthalamic nucleus STN (DBS electrode model number 3389 of Medtronic. These DBS microelectrodes include four platinum-iridium cylindrical surfaces from deepest contact 0 to most superficial contact 3 (1.27 mm diameter and 1.5 mm length) and a center-to-center distance of 2 mm.

3.2. Subthalamic nucleus local field signals acquisitions

A retrospective study was carried out at a tertiary care hospital with a dedicated movement disorder unit from South India. 46 patients with diagnosis of PD as per United Kingdom Parkinson disease society brain bank criteria were included. All the patients were willing to undergo the procedure and fulfilled the following criteria to be eligible for STN-DBS i.e., they had disease duration of 6 years or more, good response to tuned regimen of oral Levodopa (L-dopa, the metabolic precursor of dopamine), able to walk independently in drug "ON" state and had normal cognition. All PD patients who were wheelchair or bed bound, had dementia or severe psychiatric disturbances were excluded.

Surgery was performed in all by a qualified neurosurgeon. Stereotactic targets were acquired using a specialised system with a stereotactic frame (CRW) which has a luminant MR localiser. The targeting was performed according to Lozano's technique – 2mm sections are taken parallel to the plane of anterior commissure-posterior commissure line and at the level with maximum volume of red nucleus, STN is targeted at 3 mm lateral to the antero-lateral border of red nucleus.

The co-ordinates are entered into stereo-calc software which gives the co-ordinates of the STN. Another neuro navigation software –Framelink is also used to plot the course of the electrodes and to avoid vessels. The surgery is performed with two burr holes on the two sides based on the co-ordinates. 5 channels are introduced with the central channel (as channel 1) representing the MRI target while medial (nearer the centre) representing as channel 2 and lateral (away from the centre) representing as channel 3 are

placed in the x axis while anterior(front) representing as channel 4 and posterior (back) representing as channel 5 are placed in the y axis to cover an area of 5 mm diameter.

Intra-operative recording was performed in all 5 channels. All five microelectrodes are slowly passed through the STN and recording is performed from 10mm above to 10mm below the STN calculated on the MRI. STN IS identified by a high noise with a large baseline and an irregular discharge with multiple frequencies. Figure 2 shows the microelectrode recording which is obtained from the STN The channel with maximum recording and the earliest recording were recorded on both sides. Intra operative test stimulation was performed in all channels from the level at the onset of MER recording. Stimulation was done at 1mv, 3mv to assess the improvement in Bradykinesia (akinesia), rigidity and tremor. Appearance of dyskinesias (side-effects) was considered to be associated with accurate targeting. Side effects were assessed at 5mv and 7mv to ensure that the final channel chosen had maximum improvement with least side effects.

Correlation was assessed between the aspects of MER and the final channel chosen in 26 patients (92 sides). STN LFPs were acquired. The initial monopolar LFP recording generally started 20 mm above the estimated target and continued until the electrode reverified -3 mm below microelectrode M E R determined target. The N e u r o d r i v e was used to drive the electrode down to the estimated target using 1 mm steps until 10 mm above estimated target and then the step size was reduced to 0.5mm. The L F P data were acquired from all four contacts of the deep brain stimulation D B S microelectrode along with E.K.G signal for thirty30seconds duration at every depth.

The acquired signals were sampled at 2kHz with a 16-bit A/D ($2^N = 2^{16}$, $N = 65536$ sample data points) dynamic-resolutions. All raw data channels were high pass filtered at 0.1 Hz. Signals were transferred into a PC for MatLab(off-line) spectral/power-spectral-analysis.

3.3. Judgments

Recorded LFP data were marked and graphically-visualized followed by the export to the mathematical software tool box i.e., technical computing Mat-Lab software with statistical tool boxes for processing. The local field potential L F P data from all four contacts were filtered with low-pass filters employing an finite impulse response filter with a 512Hz cutoff frequency, and then down-sampled to 1000 Hz for the signal-analysis purposes.⁸ During preprocessing of the field potential's data i.e., L F P data, mono-polar signals were converted into bi-polar derivations:0 to 1,1 to 2, 2 to 3. It ought to be observed that every bi-polar contact represents the L F P activity at different depths with 2 mm space. Subsequently, the L F P data derived from all bi-polar contacts that sample various depths were mixed and processed simultaneously. In order to explore the frequency

content of the L F P data at every depth, we generated a depth-frequency analysis similar to a time-frequency analysis. We observed that the LFP data were corrupted by many factors including tremor and/or environmental factors in the operating room setting. Therefore, we computed the LFP spectrum with a modified Welch periodogram method, including robust statistics. Simply, rather than using an average over different segments, we used a median operator to compute the periodogram which suppressed outliers. Specifically, for spectrum analysis, the fast Fourier transform (FFT) was computed with a 512 samples long Hamming window (to minimize the spectra of the signal) and the window was shifted with 50 % overlap. After computing the squared magnitude in every sliding window, we used the median operator to estimate the LFP spectrum. We repeated this same procedure at every depth and the resulting spectra were used to visualize dynamic frequency spectrum of the LFP data.

3.4. Post-Processing

We investigated the depth-frequency maps and extracted the energy of LFP sub-bands at every depth to identify the superior STN border. The sub-band energy values at every depth were first filtered by zero-phase filtering in both forward and reverse directions to smooth the data. Then, output was interpolated with 0.5 mm resolution. Instead of joining data points by straight line segments using a linear interpolation, a cubic interpolation method was chosen. Finally, the interpolated signal was normalized between zero and one with a Max-Min method.

For identifying the superior subthalamic nucleus S T N border using normalized sub-band energy features, we first determined a 10% threshold to find noticeable energy increase with respect to higher depth values. Then, we computed the first derivative of the data to inspect the change in energy of consecutive data points. We identified the superior STN border using the following criteria:

1. Energy value exceeds the 10% threshold
2. The slope of the signal is positive for the three consecutive points
3. The slope was taken into account after 7 mm and below. I in order to compare the borders identified by MER-SNA and LFP, a paired student t-test was conducted. Moreover, the root mean square (RMS) of these differences was calculated.

4. Results

The fresh data of local field potentials of a characteristic Parkinson subject is displayed in (Figure 1a). Archetypal aliasing ripples that are resulted from sudden unexpected unforeseen movements of the PD-patient and supplementary conservational factors can be viewed at greater depths. Following the electrode, valued a convinced and positive

depth, higher amplitudes of local electrical field potential activity was examined. The transient of this amplitude transpired dependably or reliably in all PD-subjects amongst the greater and mediocre boundary of sub thalamic nuclei as detected by the MER – S N A. To yield an aroma or additive piquancy about the frequency content of local field electrical potential activity at various depths, the depth-frequency atlas of the identical PD-subject is illustrated in (Figure 1b). We perceived a vibrant progress in the β -band entropy, i.e., energy inside the S T N boundaries, as demarcated by the MER – S N A. (c) Unpredictably, disproportionate L F P activity was not limited to the β -band but was also examined at greater-bands, oscillating up to 450Hertz. Based on these perseverance's, we are certain to apply β :13Hertz-30Hertz and gamy-band frequencies:48Hertz-450Hertz for the spot of nuclei i.e., S T N perimeter. Correspondingly, likewise, filtered local field electrical MER signals specify a growing trend within the S T N in these bands (Figure 1c to d) which might deliver an substitute method to confine the perimeters.

In Figure 2, the sub band energy stratagems for all PD-subjects in the β -bands and γ -bands are established. The sub band data of all the PD-subjects were normalized to its maximum value and aligned with respect to the average superior border of the nuclei-i.e., S.T.N, see red dashed-line. Excluding one PD-subject, see the data in the orange color depicted in all cases the β -band energy is well connected through the S T N greater boundary. The Figure 3 gives the inconsistency values of characteristic PD-subject by the alterations of successive data points at every depth at β -band. In order to select the superior border of S T N, a 10 % threshold was applied and the first data point below 7milli meters passing the threshold and having a positive slope, i.e., increasing energy-trend, was nominated as the greater boundary. Equal to 7 mm, all the PD-subjects were having a dependable and reliable alteration and 7milli meters was the first-point having an amplified augmented normal deviation, see the flushed-pinky shaded-region from the mediocre and the improbable likelihood of above depths being the highest-perimeter, i.e.,10mm is conforming 7mm above the normal larger limit), 7mm was selected as threshold depth-significance.

The mean-value of greater-s t n perimeter expected through m e r s was 3.62 ± 0.93 m m while the mean-value of larger-boundary derived from macro-electrode l f p signal acquisitions was 4.68 ± 1.04 m m and 4.09 ± 1.57 m m in β and γ -bands, correspondingly. The root mean square (RMS) of the variance amid microrecording and field potentials electrical signals was 1.27m m in β and 1.07m m in γ -band. The then mean $\pm$ SD of distance was -1.01 ± 0.85 m m and -0.43 ± 1.08 m m in b β and gamma γ -bands, respectively. Student statistical t-test analysis pointed that the variances amid macro electrode recordings and micro recordings (M E R s) were statistically significant for β -band; $p=0.03 < 0.05$, though non-significant for γ -band $p=0.39 > 0.05$.

Fig. 1: Clockwise (a) Samples vs. Depths (mm). The STN neurons activity at various levels (-2 to +5 mm). Here, -Ve values correspond with positions above the STN-target. The exterior part of STN: -1mm to 0mm is visibly identified by enhance in milieu-noise and an abrupt enhance in liberation charge distinguished by periodic explode movement (15Hz-25Hz). Cavernous layers of STN-neurons (+1.5mm to 3.5 mm) depict new uneven above the baseline frequency liberation-pattern. L.F.P raw-data of a characteristic PD-subject though the electrode moved from 21mm above the target down to -4.5mm underneath it. (b) Depth (mm) vs. frequency (Hz).spectral analysis for the characteristic-pd-subject. High-pass filtered at 2.5Hz. Black arrows show the greater and inferior-S T N boundaries, correspondingly from left-hand to correct. (c) Samples vs. depth (mm) Bi polar-L F Ps band-passed, filtered at 13Hz-30Hz for the demonstrative-PD-subject. (d) Samples vs. depth (mm). The MER signal patterns of STN neurons for various-depths: -4mm to +10mm. It can be observed that the thin part of the STN is recognized by amplifying background-noise and a rise in discharge pace differentiated by the rhythmic-bursts-of-activity with higher-frequencies (neurons 3, 4, 5 and 6). The negative (-4 to -1)) values correspond with positions above the MRI-based target. Deeper layers of the STN show a more irregular-high-frequency discharge-patterns. Bi polar L F Ps high-pass filtered at 48Hertz. Bloodshot enflamed or inflamed-red dashed-lines demonstration the higher and lowest perimeters of the nuclei.

Fig. 2: Inconsistencyversus depth plot for all V-PD-patients. (i) Depth (mm) vs. variance. Band-pass filtered at 13H-30Hz. (ii) Depth (mm) vs. variance. High-pass filtered at 48Hertz. The bloodshot-red line depicts the mean discrepancy-value beside the depths and the flushed-pink dappled region depicts $\pm$ SD round the mean. Whereas the data in carrot is omitted.

Fig. 3: Depth versus variance scenario along with the variations amongst every successive datapoints for a typical PD-subject. Filtering with the band-pass filters at 13Hertz-30Hertz. Bluish Indigo navy-colored arcs depicting the variation. Red-faced pink curve depicting the variance of successive points in conjunction with the data. Dark pitch-black line is the 10 % limit.

5. Discussion

Earlier experiments indicated that the disproportionate extreme β band activity of LFP can be used to detect the STN site or point.⁷ In the present study, during a DBS electrode implantation surgery, the field potentials of electrical signals of LFPs from four contacts of DBS macro electrodes in 26PD-patients. We examined enhanced LFP activity among the greater and lower STN edge-boundaries. Following the computed LFP spectrum at every depth, we noticed that unnecessary activity occurs not only in the β -band, but then again also in the greater-bands, varying from 40Hertz up to 450Hertz. Consequent data analysis has shown that the point of error of higher sub thalamic nuclei edge among macro electrode acquisitions and microrecording MER - SNA was around 1milli m in the two β -band and γ -band. The findings provide for the use of intra operative macro-electrode signal gatherings/recordings, in conjunction with preoperative stereotactic imaging for target point in Parkinson's. Because the additional vigorous and sturdy or robust-nature of the L.F. P signals- derived from PD-populations of neurons, instead of single unit neurons, The induced stimulus local field potentials i.e., electrical field potentials LFP signal based validation of deep brain stimulus position could be be extra valuable than MER -SNA. The local-fields-potential-based DBS functional neuro-surgery might also lend itself to a more automated approach to interpreting complex intra operative neurophysiology rather than the current scenario that commands considerable and/or substantial skills in hearing auditory MER – SNA construal or clarification. It must also note that due its relatively substantial connection volume and among contact-layout/ or-spacing, the induced stimulus, i.e., deep brain stimulation macro electrode has reduced longitudinal and 3D i.e., three-or-four dimensional motion than the micrerecording. Such as, for a bi-polar

contact derivation, at least a 3.6m m shift or movement, i.e., change-or-shift is needed for two contacts, i.e., for both the contacts to pass through a structure. In conflict disparity, micro electrode recording (MER) is differentiated or exemplified by exceptional spatial-dynamic-resolution as the single neuron activity SNA activity is gathered from the tip-off of the micro-electrode, which has a length of numerous microns in size. One more disadvantage of the study is concerning only the excellent perimeter of the sub thalamic nuclei.

6. Conclusion

We may infer that in conclusion, macro electrode signal acquisitions of STNs derived LFP gatherings might offer an unconventional methodology in the direction of mer – sna, for detecting the aimed target STN perimeter-edges during DBS functional-neurosurgery.

7. Conflict of Interest

The author declares no potential conflicts of interest with respect to research, authorship, and/or publication of this article.

8. Source of Funding

None.

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Cite this article: Rama Raju V. Edge detections of MER Signals of STN with DBS micro electrode local field potential acquisitions in Parkinson's. *IP Indian J Neurosci* 2021;7(4):281-286.